

**NON-PROVISIONAL
UTILITY PATENT APPLICATION
TRANSMITTAL - 37 CFR 1.53(b)**

Duplicate ☐
(check, if applicable)

Commissioner for Patents
MAIL STOP PATENT APPLICATION
P.O. Box 1450
Alexandria, VA 22313-1450

Attorney Docket No. 053893-5027-01
First Named Inventor: Dreyfuss et al.
Express Mail No.: EV164948965US
Total Pages of Transmittal Form: 2

03970 U.S. PTO
10/677662



Transmitted herewith for filing is the non-provisional utility patent application entitled:

**COMPOSITIONS, METHODS AND KITS USEFUL FOR THE DIAGNOSIS AND
TREATMENT OF SPINAL MUSCULAR ATROPHY**

which is:

an ☐ Original; or

a ☐ Continuation, ☒ Divisional, or ☐ Continuation-in-part (CIP)
of prior Patent Application No. 09/399,081 filed September 17, 1999.

☐ This non-provisional patent application is based on Provisional Patent Application No. _____, filed
_____.

Enclosed are:

- ☒ Copy of Specification (including Abstract) and claims: 174 pages.
- ☐ Application Data Sheet.
- ☐ Newly executed/unexecuted Declaration (original/copy).
- ☒ Copy of Declaration from prior application.
- ☐ Copy of Revocation and Power of Attorney filed in Parent
Application No. _____.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☒ Copy of 46 sheets of original (formal) drawings as filed in prior Patent Application No.
09/399,081 filed September 17, 1999.
- ☐ Microfiche computer program (Appendix).
- ☒ Nucleotide and/or Amino Acid Sequence Submission, including:
 - ☐ Computer readable copy ☒ Paper Copy ☒ Verified Statement.
- ☒ Please transfer the diskette version of the Sequence Listing from Parent Application No.
09/399,081 to the present application.
- ☐ Under PTO-1595 Cover Sheet, an assignment of the invention
- ☒ Name of Assignee: Trustees of the University of Pennsylvania
- ☐ Certified copy(ies) of _____ Application No(s). _____ filed _____ is/are filed:
 - ☐ herewith or ☐ in prior application &@.
- ☒ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R.
§1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☒ a Non-Profit
Organization.

- ☒ Preliminary Amendment.
- ☒ Information Disclosure Statement and PTO-1449. Copies of the references cited are not included as they have been filed or cited in the parent application no. 09/399,081.
- ☐ Request for Nonpublication of Application Under 35 U.S.C. §122(b)
- ☒ Other: Transmittal of Formal Drawings and fifty-six (56) revised formal drawing sheets as filed in parent application on February 11, 2003. Clean and Marked-up copies of the substitute specification (minus claims) and copy of Declaration pursuant to 37 C.F.R. 1.125.

The filing fee is calculated as follows:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE: \$385			BASIC FEE: \$770	
Total	12 -20 =	0	X9	\$0	OR	X18	\$
Independent	2-3=	0	X43	\$0	OR	X86	\$
<input type="checkbox"/> Multiple Dependent Claims Present			\$145	\$	OR	\$290	\$
			TOTAL	\$385.00	OR	TOTAL	\$

- ☐ **The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.**
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-0310 (Billing No. 053893-5027-01)** as noted below.
- ☒ Any overpayments or deficiencies in the above-calculated fee.
- ☒ Filing fee in the amount of \$ 385.00 as calculated above.
- ☐ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- ☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

CORRESPONDENCE ADDRESS:

October 2, 2003
(Date)

By:

Raquel M. Alvarez
RAQUEL M. ALVAREZ, Ph.D., J.D.
Registration No. 45,807
MORGAN, LEWIS & BOCKIUS, L.L.P.
1701 Market Street
Philadelphia, PA 19103-2921
Telephone: (215) 963-5000
Direct Dial: (215) 963-5403
Facsimile: (215) 963-5001
E-Mail: ralvarez@morganlewis.com
Attorney for Applicants

☒ Customer Number or Bar Code Label: **028977**
RMA/QDN/prw
Enclosures

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**PATENT
MAIL-STOP PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:	Patent Application of Gideon Dreyfuss <i>et al.</i>	: Group Art Unit: To Be Assigned : :
Appln. No.:	To Be Assigned	: Examiner: To Be Assigned : :
Filed:	Herewith	: Attorney Docket : :
For:	COMPOSITIONS, METHODS AND KITS USEFUL FOR THE DIAGNOSIS AND TREATMENT OF SPINAL MUSCULAR ATROPY:	: No. 053893-5027-01 : :

TRANSMITTAL OF FORMAL DRAWINGS

Attention Official Draftsperson:

Enclosed are Fifty-Six (56) sheets, Figures 1-52, of formal drawings, corresponding to the above identified application. It is respectfully submitted that the enclosed formal drawings are in acceptable form.

Respectfully submitted,

GIDEON DREYFUSS *ET AL.*

October 2, 2003
Date

By: *Raquel M. Alvarez*
RAQUEL M. ALVAREZ, Ph.D., J.D.
Registration No. 45,807
MORGAN, LEWIS & BOCKIUS, L.L.P.
1701 Market Street
Philadelphia, PA 19103-2921
Telephone: (215) 963-5000
Direct Dial: (215) 963-5403
Facsimile: (215) 963-5001
E-Mail: ralvarez@morganlewis.com
Attorney for Applicants

RMA/QDN *del*
Enclosures